

U S WEST, Inc.
Suite 700
1020 Nineteenth Street, NW
Washington, DC 20036
202 429-3131
FAX 202 296-5157

BB Nugent
Executive Director
Federal Regulatory

EX PARTE OR LATE FILED

USWEST

WRITTEN EX PARTE

April 23, 1998

RECEIVED

APR 23 1998

FEDERAL COMMUNICATIONS COMMISSION
OFFICE OF THE SECRETARY

Ms. Magalie Roman Salas
Secretary
Federal Communications Commission
1919 M Street, NW, Room 222, SC-1170
Washington, DC 20554

BUCKET FILE COPY ORIGINAL

RE: CC Docket No. 96-115

Dear Ms. Salas:

The attached information was provided today to Pat Donovan of the Competitive Pricing Division, at his request. In accordance with Commission Rule 1.1206(a)(1), an original and one copy of this letter and attachment are being filed with your office for inclusion in the public record.

Acknowledgment and date of receipt of this submission are requested. A duplicate of this letter is provided for this purpose.

Please call if you have any questions.

Sincerely,

BB Nugent

Attachments

cc: Mr. Pat Donovan
Mr. David Konuch

of Copies rec'd
1000

0+1

U S WEST, Inc.
Suite 700
1020 Nineteenth Street, NW
Washington, DC 20036
202 429-3131
FAX 202 296-5157

USWEST

BB Nugent
Executive Director
Federal Regulatory

April 23, 1998

Mr. Pat Donovan
Competitive Pricing Division
Federal Communications Commission
1919 M Street, NW, Room 518
Washington, DC 20554

RE: CC Docket No. 96-115

Dear Mr. Donovan:

In response to your request for U S WEST Communications' directory publisher listings rates, I am providing the attached U S WEST Communications Directory Publisher List Product Description and Price List.

The products most used by directory publishers are A. Expanded Use Subscriber List (EUSL) and D. Subscriber List (SL). The EUSL product is a multipurpose product, offered for unlimited (lawful) uses, including resale. This is a unique feature among subscriber list products. The SL product is a single purpose product, restricted to use for directory publishing, and is photo composed in white pages directory format.

Please feel free to call me if you have questions.

Sincerely,
BB Nugent

cc: Mr. David Konuch

Directory Publisher List Product Description and Price List

I. DEFINITIONS

A. Expanded Use Subscriber List (EUSL)

INITIAL LOADS

and RELOADS WITHOUT CORRESPONDING EUU PURCHASES:

The Expanded Use Subscriber List (EUSL) is a listing file containing U S WEST Communications telephone subscriber names, addresses, telephone numbers and related listing elements. The EUSL file allows a client purchasing a listing load to build and update a listing database for unlimited (lawful) uses, including resale. The listings are supplied to clients in the Expanded Use format, which allows them to sort, sequence or format the listings to fit their individual needs. EUSL lists do not include non-published or non-listed information.

Primary applications may include, but are not limited to, producing printed white page directories, reverse directories, marketing lists; developing voice directory services or electronic directories.

Exchange Carrier records are also provided to clients in EUSL format when a contract exists between the client and the Exchange Carrier.

RELOADS:

The EUSL Reload pricing arrangement allows clients who purchase both Expanded Use Subscriber Lists (EUSL), and have simultaneously maintained the database for their market or geographic area with the purchase of Expanded Use Updates (EUU), a means of periodically validating, refreshing, synchronizing or reconciling their database.

The EUSL Reload offer is designed to reinforce the quality of database management for our clients and allows them validation that they are processing EUUs correctly.

Reloads of the Exchange Carrier EUSL are also available to clients with authorization.

Clients purchasing EUSL Reloads for databases which have not been maintained with daily EUUs are also available to the customer, but under the terms and conditions of an Initial EUSL load.

B. Expanded Use Updates (EUU)

The Expanded Use Updates product is daily subscriber listing order change activity. The change activity may include new, moved, disconnected or changed subscriber information such as name, address, telephone number or other related listing elements. EUUs may be used for a limited (one-time) use in developing yellow page sales leads, or are also available for unlimited (lawful) uses, including resale.

The primary applications of the unlimited use EUU product include, but are not limited to, updating a list data base; developing sales leads; producing printed white page directories, reverse directories, marketing lists ("new mover" lists); developing voice directory services, or electronic directories.

The sole application of the limited (one-time) use of EUU is for yellow page sales leads. Limited use EUU may not be used for any other purpose and may not be resold.

EUU lists do not include non-published or non-listed information.

C. Daily Business Updates (DBU)

Daily Business Updates consist of daily changes in listing related elements of completed business service order activity. Listing changes that precipitate DBU activity include changes in name, address, telephone number, class of service changes to or from business, new connects, disconnects, and move activity. DBU lists do not include non-published or non-listed information.

There were no client purchases of DBU lists after September, 1995 and the product was exited in January, 1996.

D. Subscriber List (SL)

Subscriber List is an alphabetized list of U S WEST Communications telephone subscriber names, addresses and telephone numbers. Business and/or Residence subscriber listings in white page directory format include caption arrangements.

Proof copies or Additional copies of Subscriber Lists are also available to clients in either the same or a different format from the first order. These copies are available at a separate price from the initial order.

The primary application for Subscriber Lists is the compilation, publication and distribution of printed, voice or electronic directories. Subscriber Lists do not include non-published or non-listed information and are not for resale.

Exchange Carrier records are provided to clients when a contract exists between the client and the Exchange Carrier.

E. Government List

Government Lists consist of the alphabetically arranged name, address and telephone number of recognized government agencies (city, county, state and federal). The listings are manually selected from the Subscriber Listing database, as a separate government section. Government Lists do not include non-published or non-listed information.

Proof copies or Additional copies of Government Lists are also available to clients in either the same or a different format from the first order. These copies are available at a separate price from the initial order.

Exchange Carrier records are provided to clients when a contract exists between the client and the Exchange Carrier.

F. Delivery List - Initial and Supplemental

Initial Delivery List consists of current U S WEST Communications telephone subscriber names and addresses, with options for telephone numbers, solely for annual distribution of printed telephone directories.

Supplemental Delivery List consists of newly connected/moved U S WEST Communications telephone subscribers names and addresses, with the option of telephone numbers, solely for distribution of printed telephone directories subsequent to an annual distribution. Delivery Lists are not for resale.

G. Special Custom Formatting (SCF)

Special Custom Formatting services are optional composition services available with an order for Subscriber Listings. Special Custom Formatting services include the application of Bold or Super Bold type face; Box/Logo, Information Box and Banner Advertising space; Listing Cleanup (late change) and Proofreading services.

These services were grandfathered effective August 1, 1994. No client purchases of SCF services were made after February, 1995 and the product was exited in January, 1996.

II. CONDITIONS

A. Expanded Use Subscriber List (EUSL)

INITIAL LOADS

and RELOADS WITHOUT CORRESPONDING EUU PURCHASES:

Expanded Use Subscriber Lists are offered to all clients under the same license agreement for unlimited use, as long as they honor the privacy restrictions requested by subscribers. All clients must agree not to use any listings with a "No Solicitation" indicator in any marketing list; and also "Omit from Lists" from any direct mail, telemarketing or reverse directories. Subscriber listings which are non-published or non-listed are not provided.

Exchange Carrier records are also provided to clients in EUSL format with authorization from the carrier.

The following conditions apply for Initial Loads, or Reloads without corresponding EUU purchases:

1. EUSL listing file loads are selectable by Target Community, NPA or Area Code and Prefix in the 14-state U S WEST region.
 - a. The Target Community represents the community or directory area in which a listing is to appear in the printed directory.
 - b. The Area Code/NPA and the Prefix or NXX are the first six digits of the subscriber's telephone number, representing the region and central office serving the subscriber.
2. EUSL listing file loads are selectable by business and/or residence accounts.
3. The EUSL listing file data includes detailed coding for accurate punctuation, sorting and sequencing format of the subscriber listings within the file.
4. Clients may use EUSL listings to compile a database but must comply with subscriber privacy requests.
5. Clients may resell EUSL listings but must comply with subscriber privacy requests.
6. Clients may order once, or as needed, paying for each listing in every load ordered.
7. Clients must remove from their database any listing that has become non-listed or non-published when notified by subscriber or via use of the Expanded Use Updates.
8. Product is available on magnetic or cartridge tape and floppy disk. File transfers may be negotiated.

RELOADS:

The Expanded Use Subscriber List Reload (EUSL Reload), as with the Initial Load of EUSL is offered for unlimited use, and clients are required to abide by the same privacy restrictions, solicitation or directory omission restrictions as pertain to the Initial EUSL Load.

Reloads of Exchange Carrier records are also provided to clients in EUSL format with authorization from the carrier.

The following conditions apply for EUSL Reloads with corresponding EUU purchases:

1. Clients having purchased both Expanded Use Subscriber Listings and simultaneously and continuously purchasing Expanded Use Updates for the same geography or market are entitled to purchase Reloads as follows:
 - a. EUSL Reload may consist of all listings in the same geography or market or,
 - b. Reload may be for a specific Target Community, Area Code/NPA, Prefix or Record selection within the same geography/markets.
 - c. All conditions which apply to the EUSL load apply to the EUSL Reload.
2. Clients having purchased Expanded Use Subscriber Listings to build a database but only purchasing Expanded Use Updates to update a limited segment of that database are entitled to purchase the EUSL Reload solely for that EUU update segment. (Example: If a client buys business/residence EUSL and business-only EUU, they will buy business records at the EUSL Reload price, and residence records at the standard EUSL rate.

All conditions which apply to the EUSL product apply to the EUSL Reload.

3. Clients who purchase reloads of EUSL listings which have not been simultaneously and/or continuously maintained with the application of EUUs must purchase them at the standard EUSL rate.

B. Expanded Use Update (EUU)

Expanded Use Updates are provided in the form of In/Out transactions on a daily basis. A transaction refers to the time and type of a change in a subscriber's listing information.

Expanded Use Updates are offered to all clients under license agreement for either a limited (one-time) use as a yellow page sales lead; or for unlimited use, as long as they honor the privacy restrictions requested by subscribers. All clients must agree not to use any listings with a "No Solicitation" indicator in any marketing list; and also "Omit from Lists" from any direct mail, telemarketing or reverse directories.

Exchange Carrier records are also provided to clients in EUU format with authorization from the carrier.

The following conditions apply for EUU purchases:

1. Subscriber listings which are non-published or non-listed are not provided.
2. Listings which change to non-published or non-listed are provided as "Out" transactions.

3. EUU listings are selectable by Target Community, or Area Code/NPA and Prefix in the 14-state U S WEST region.
 - a. The Target Community represents the community or directory in which a listing is to appear in the printed directory.
 - b. The Area Code/NPA and the Prefix or NXX are the first six digits of the subscriber's telephone number, representing the region and central office serving the subscriber.
4. EUU listings are selectable by business and/or residence accounts.
5. The EUU listings include detailed coding for accurate punctuation, sorting and sequencing format of the subscriber listings.
6. Clients selecting limited use EUU listings are restricted to the sole use of those listings for yellow page sales leads.
7. Clients may use unlimited use EUU listings to compile a database but must comply with subscriber privacy requests.
8. Clients may resell unlimited use EUU listings but must comply with subscriber privacy requests.
9. Daily EUU transactions may be provided daily or accumulated and delivered to the client on a weekly basis.
10. The unlimited use EUU listing information may be used in conjunction with the Expanded Use Subscriber List (load) product, but has a separate, unbundled price element.
11. Product is available on magnetic or cartridge tape, floppy disk, or printed output. File transfers may be negotiated.

C. Daily Business Updates (DBU)

Daily Business Updates are grandfathered for use by clients with license agreements in effect before December 31, 1995. Use of DBUs is restricted for the purpose of conducting activities generally associated with the publishing of telephone directories, updating business files and soliciting advertisement. The listing information may not be resold, nor can it be used for anything other than publication of yellow pages. DBUs may not be used for the publication of white pages.

DBU selected options are as follows:

1. DBUs may be ordered by NPA, Prefix and Exchange.
2. DBUs are extracted daily.
3. DBUs are available in print format or on magnetic tape.

Daily Business Updates were removed from the product line in January, 1996.

D. Subscriber List (SL)

Subscriber Listings are offered to all clients, under the same license agreement, for the compilation, publication and distribution of client's printed, voice or electronic directory. Subscriber listings which are non-published or non-listed are not provided.

Exchange Carrier records are also provided to clients in Subscriber List format with authorization from the carrier.

The following conditions apply for Subscriber List purchases:

1. Subscriber listings are selectable by Area Code/NPA and Prefix or NXX in the 14-state U S WEST region.

The Area Code/NPA and Prefix or NXX represent the first six digits of the subscriber's telephone number, representing the region and central office serving the subscriber.

2. Subscriber Listings are selectable by business and/or residence accounts.
3. Where subscribers have so requested, information will include coding to indicate that a symbol and/or phrase designating "No Solicitation Calls" is to be printed in the client's directory.
4. Client must publish the information within a reasonable time in order to reduce the potential for outdated directories.
5. The client may not resell Subscriber Listings.
6. The client agrees to honor all subscriber requested restrictions as noted on accounts marked as such.
7. The client must furnish to U S WEST Communications (at their expense) a copy of each version of the published directory.
8. Product is available on magnetic or cartridge tape, floppy diskette or printed output.

E. Government List

The client identifies which government entities are to be included in their directory, and the sequencing arrangement for same.

Exchange Carrier records are also provided to clients in Subscriber List/Government format with authorization from the carrier.

Those conditions which apply to Subscriber List also apply to Government Lists.

F. Delivery List - Initial & Supplemental

Delivery Lists are offered to all clients under the same license agreement for the sole purpose of distributing the client's printed directory. Delivery Lists do not include non-published or non-listed information and are not for resale.

The following conditions apply for the purchase of Delivery Lists:

1. Delivery Lists are selectable by Area Code/NPA and Prefix or NXX in the 14-state U S WEST region.
 - a. The Area Code/NPA and Prefix/NXX represent the first six digits of the subscriber's telephone number, representing the region and central office serving the subscriber.
2. Delivery Lists are selectable by business and/or residence accounts.
3. Delivery Lists do not include non-published, non-listed, public or semi-public listing information. Client may include unbound promotional materials or packets of information with the directories to be delivered.

G. Special Custom Formatting

These services are provided in conjunction with Subscriber Listings. SCF services are ordered on the SL order form at the same time a client orders a SL product.

Special Custom Formatting services were grandfathered for use by clients with license agreements in effect before August 1, 1994 and were removed from the product line in January, 1996.

III. RATES

<u>Product</u>	<u>Charge Per Listing</u>	<u>Minimum Order Charge</u>	<u>New Rate Effective Date</u>
A. Expanded Use Subscriber List			
1. Initial Load and Reload Without Complimenting EUU Purchase			
U S WEST Communications and Exchange Carrier listings	\$.21	N/A	
Volume Discount (calendar year purchase of 1.5 million or more listings)			
U S WEST Communications and Exchange Carrier listings	\$.13	N/A	01/01/97
2. Reload -			
With Complimenting EUU Purchase			
U S WEST Communications and Exchange Carrier listings	\$.12	N/A	
Without Complimenting EUU Purchase			
U S WEST Communications and Exchange Carrier listings	\$.21*	N/A	
B. Expanded Use Updates			
1. Unlimited Use			
U S WEST Communications and Exchange Carrier listings	\$.57	N/A	11/01/96
2. Limited Use			
U S WEST Communications and Exchange Carrier bus. listings	\$.30	N/A	11/01/96
C. Daily Business Updates (No longer Offered)	\$ N/A	N/A	N/A
D. Subscriber Listings			
1. Final Copy			
U S WEST Communications and Exchange Carrier listings	\$.38	\$150.00	11/01/96

*Unless Volume Discount rate applies

<u>Product</u>	<u>Charge Per Listing</u>	<u>Minimum Order Charge</u>	<u>New Rate Effective Date</u>
D. Subscriber Listings Cont.			
2. Proof Copies (available w/Final Copy orders)			
U S WEST Communications and Exchange			
Carrier listings			
1. Same Format	\$.19	N/A	11/01/96
2. Different Format	\$.38	N/A	11/01/96
E. Government Lists			
1. Final Copy			
U S WEST Communications and Exchange			
Carrier listings	\$ 3.00	N/A	
2. Proof Copies (available w/Final Copy orders)			
a. Same Format	\$ 1.50	N/A	
b. Different Format	\$ 3.00	N/A	
F. Delivery Lists			
1. Initial Delivery List	\$.15	\$175.00	
2. Supplemental Delivery List	\$.25	\$ 80.00	
The Rates for Special Custom Formatting are as follows:			
G. Special Custom Formatting (No Longer Offered)	N/A	N/A	N/A

Additional Copies or Test Files for products are available at one-half the base product price.